EXECUTIVE SUMMARY - ENFORCEMENT MATTER DOCKET NO.: 2008-0828-MSW-E TCEQ ID: RN105298228 CASE NO.: 35851 RESPONDENT NAME: JAMES LINDGREN DBA TOW KING, INC.

ORDER TYPE:					
1660 AGREED ORDER	FINDINGS AGREED ORDER	FINDINGS ORDER FOLLOWING SOAH HEARING			
X FINDINGS DEFAULT ORDER	_SHUTDOWN ORDER	IMMINENT AND SUBSTANTIAL ENDANGERMENT ORDER			
AMENDED ORDEREMERGENCY ORDER					
CASE TYPE:					
AIR	MULTI-MEDIA (check all that apply)	INDUSTRIAL HAZARDOUS WASTE			
PUBLIC WATER SUPPLY	PETROLEUM STORAGE TANKS	OCCUPATIONAL CERTIFICATION			
WATER QUALITY	SEWAGE SLUDGE	UNDERGROUND INJECTION CONTROL			
X_MUNICIPAL SOLID WASTE	RADIOACTIVE WASTE	DRY CLEANER REGISTRATION			
SITE WHERE VIOLATION(S) OCCURRED: The intersection of Yeagua Street and J B Riggs Drive, Groesbeck, Limestone County TYPE OF OPERATION: Towing company based in McClennan County SMALL BUSINESS: _X_ Yes No OTHER SIGNIFICANT MATTERS: There are no complaints. There is no record of additional pending enforcement actions regarding this facility location. INTERESTED PARTIES: No one other than the ED and the Respondent expressed an interest in this matter. COMMENTS RECEIVED: The Texas Register comment period expired on February 9, 2009. No comments were received. CONTACTS AND MAILING LIST: TCEQ Attorney: Ms. Stephanie J. Frazee, Litigation Division, MC 175, (512) 239-3693 Ms. Lena Roberts, Litigation Division, MC 175, (512) 239-0019 TCEQ Enforcement Coordinator: Mr. John Shelton, Waste Enforcement Section, MC 128, (512) 239-2563 TCEQ Regional Contact: Mr. Frank Burleson, Waco Regional Office, MC R-9, (254) 761-3001 Respondent: Mr. James Lindgren, President, Tow King, Inc. 7191 Bagby Avenue, Waco, Texas 76712 Respondent's Attorney: Not represented by counsel on this enforcement matter.					

RESPONDENT NAME: JAMES LINDGREN DBA TOW KING, INC. DOCKET NO.: 2008-0828-MSW-E

VIOLATION SUMMARY CHART:					
VIOLATION INFORMATION	PENALTY CONSIDERATIONS	CORRECTIVE ACTIONS TAKEN/REQUIRED			
Type of Investigation:	Total Assessed: \$1,050	Corrective Action(s) Taken:			
Complaint Routine Enforcement Follow-up X Records Review	Total Deferred: \$0Expedited OrderFinancial Inability to PaySEP Conditional Offset	The Executive Director recognizes that on August 21, 2008, the Respondent submitted to TCEQ a spill incident report describing the details of the July 27, 2007, spill and supporting the adequacy of the response.			
Date of Complaint Relating to this Case: None	Total Due to General Revenue: \$1,050				
Date of Investigation Relating to this Case: April 11, 2008	This is a Default Order. The Respondent has not actually paid any of the assessed penalty but will be required to do so under the terms of this proposed Order.				
Date of NOE Relating to this Case: April 30, 2008	Site Compliance History Classification N/A				
Background Facts: The EDPRP was filed October 24, 2008, and mailed to the Respondent via certified mail, return receipt requested, and via first class mail, postage prepaid. According to the return receipt "green card," the Respondent received notice of the EDPRP on October 29, 2008. The Respondent failed to answer the EDPRP, failed to request a hearing, and failed to schedule a settlement conference.	Person Compliance History Classification N/A Major Source: Yes X No Applicable Penalty Policy: September 2002				
The corporate charter for Tow King, Inc. was forfeited February 11, 2005, however, the Respondent continues to utilize Tow King, Inc. as a trade-name.					
Current Compliance Status: No outstanding Technical Requirements.					
MSW: Failed to submit a written report describing the details of a spill and supporting the adequacy of the response action within thirty working days of the incident [30 Tex. ADMIN. CODE § 327.5(c)].					

DATES Assigned 22-Apr-2008 PCW 15-Oct-2008 Screening 7-May-2008 EPA Due RESPONDENT/FACILITY INFORMATION Respondent James Lindgren dba Tow King, Inc. Reg. Ent. Ref. No. RN105298228 Facility/Site Region 9-Waco Major/Minor Source Minor CASE INFORMATION Enf./Case ID No. 35851 No. of Violations 1 Docket No. Media Program(s) Municipal Solid Waste Enf. Coordinator John Shelton Multi-Media EC's Team Enforcement Team 7
Respondent Reg. Ent. Ref. No. RN105298228 Facility/Site Region 9-Waco Major/Minor Source Minor CASE INFORMATION Enf./Case ID No. 35851 Docket No. 2008-0828-MSW-E Media Program(s) Municipal Solid Waste Multi-Media Enf. Coordinator Multi-Media EC's Team Enforcement Team 7
Enf./Case ID No. 35851 Docket No. 2008-0828-MSW-E Media Program(s) Multi-Media No. of Violations 1 Order Type 1660 Enf. Coordinator John Shelton EC's Team Enforcement Team 7
Admin. Penalty \$ Limit Minimum \$0 Maximum \$10,000
Penalty Calculation Section TOTAL BASE PENALTY (Sum of violation base penalties) Subtotal 1 \$1,0
ADJUSTMENTS (+/-) TO SUBTOTAL 1 Subtotals 2-7 are obtained by multiplying the Total Base Penalty (Subtotal 1) by the indicated percentage. Compliance History 5.0% Enhancement Subtotals 2, 3, & 7
Notes One NOV for a same or similar violation.
Culpability No 0.0% Enhancement Subtotal 4 Notes The Respondent does not meet the culpability criteria.
Good Faith Effort to Comply Before NOV NOV to EDPRP/Settlement Offer Extraordinary Ordinary N/A x ((mark with x)) Notes The Respondent does not meet the good faith criteria.
Total EB Amounts \$5 Approx. Cost of Compliance \$100
SUM OF SUBTOTALS 1-7 Final Subtotal \$1,0 OTHER FACTORS AS JUSTICE MAY REQUIRE 0.0% Adjustment
Reduces or enhances the Final Subtotal by the indicated percentage. Notes
STATUTORY LIMIT ADJUSTMENT \$1,0
DEFERRAL Reduces the Final Assessed Penalty by the indicted percentage. (Enter number only; e.g. 20 for 20% reduction.)
Notes Deferral not offered for non-expedited settlement. PAYABI F PENALTY \$1,0

Screening Date 7-May-2008

Docket No. 2008-0828-MSW-E

PCW

Respondent James Lindgren dba Tow King, Inc.

Case ID No. 35851

Policy Revision 2 (September 2002) PCW Revision April 29, 2008

Reg. Ent. Reference No. RN105298228

Media [Statute] Municipal Solid Waste

Enf. Coordinator John Shelton

Compliance History Worksheet

Component	Number of Written NOVs with same or similar violations as those in the current enforcement action	Inter Number Here	Adjust.
NOVs	(number of NOVs meeting criteria)	1	5%
	Other written NOVs	0	0%
	Any agreed final enforcement orders containing a denial of liability (number of orders meeting criteria)	0	0%
Orders	Any adjudicated final enforcement orders, agreed final enforcement orders without a denial of liability, or default orders of this state or the federal government, or any final prohibitory emergency orders issued by the commission	0	0%
Judgments	Any non-adjudicated final court judgments or consent decrees containing a denial of liability of this state or the federal government (number of judgements or consent decrees meeting criteria)	0	0%
and Consent Decrees	Any adjudicated final court judgments and default judgments, or non-adjudicated final court judgments or consent decrees without a denial of liability, of this state or the federal government		0%
Convictions	Any criminal convictions of this state or the federal government (number of counts)	0	0%
Emissions	Chronic excessive emissions events (number of events)	0	0%
Audite	Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of audits for which notices were submitted)	0	0%
Audits	Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of audits for which violations were disclosed)	0	0%
	Plea	se Enter Yes or No	
41	Environmental management systems in place for one year or more	No	0%
	Voluntary on-site compliance assessments conducted by the executive director under a special assistance program	No	0%
	Participation in a voluntary pollution reduction program	No	0%
	Early compliance with, or offer of a product that meets future state or federal government environmental requirements	No :	0%
	Adjustment P	ercentage (Su	btotal 2)
at Violator (Su	btotal 3)		
N//	Adjustment P	ercentage (Su	btotal 3)
oliance History	Person Classification (Subtotal 7)		
N//	Adjustment P	ercentage (Su	btotal 7)
oliance Histor	y Summary		
Compliance History Notes	One NOV for a same or similar violation.		
	Total Adjustment Percentage	(Subtotals 2	. 3, & 7)

Screening Date 7-May-2008 Docket No. 2008-0828-MSW-E	PCW
: 1.1	Policy Revision 2 (September 2002)
Case ID No. 35851	PCW Revision April 29, 2008
Reg. Ent. Reference No. RN105298228	***************************************
Media [Statute] Municipal Solid Waste	***************************************
Enf. Coordinator John Shelton Violation Number 1	
Rule Cite(s) 30 Tex. Admin. Code § 327.5(c)	
Failed to submit a written report describing the details of a spill and supporting adequacy of the response action to the Waco Regional Office within 30 working d the incident, as documented during an investigation conducted on April 11, 200 Specifically, no written report had been submitted for the spill of greater than 50 g of diesel fuel from a tow truck that occured at the intersection of Yeagua Street an Riggs Drive in Groesbeck, Limestone County, on July 27, 2007.	ays of 08. allons
Base Pe	enalty \$10,000
>> Environmental, Property and Human Health Matrix	
Harm	
Release Major Moderate Minor	***************************************
OR Actual Potential Percent 0%	·
Potentia 1070	
>>Programmatic Matrix	
Falsification Major Moderate Minor	200
Y Percent 10%	
	·
Matrix Notes 100 percent of the rule requirement was not met:	
Adjustment \$	9,000
	\$1,000
Violation Events	
The first contract of the cont	
Number of Violation Events 1 26 Number of violation days	·
mark only one with an x with an x daily monthly quarterly semiannual annual single event x	enalty \$1,000
One single event is recommended.	
Economic Benefit (EB) for this violation Statutory Limit Test	
Estimated EB Amount \$5 Violation Final Penalty	Total \$1,050
This violation Final Assessed Penalty (adjusted for I	imits) \$1,050
i nis violation rinai Assessed renaity (adjusted for i	iiiita) ψ1,000

	in in E	conomic	Benefit W	orks	sheet		
Respondent	James Lindgren	dba Tow King, In	C.	e d'amainmhid.	, a a sign the filter of the armonic of the theology	a a committant fan del tale outs freeling om ee'r d	The product of the state of the state of the state of the
Case ID No.	35851						
Reg. Ent. Reference No.	RN105298228						
	Municipal Solid	Waste					Years of
Violation No.						Percent Interest	Depreciation
Violation	1,48,84888888	ender Geffe Frage				5.0	15
						presidente in maturation and anaditor	EB Amount
	Item Cost	Date Required	Final Date	Yrs	interest Saved	Onetime Costs	EB Amount
Item Description	No commas or \$			staliji.	Jakelijast Albe	it ik Alkar	E-wall-fill #
Delayed Costs						idenin (syradical)	ichentaria
Equipment				0.00	\$0	\$0	\$0
Buildings				0,00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Engineering/construction				0.00	\$0	\$0	\$0
Land	200			0.00	\$0	n/a	\$0
Record Keeping System				0.00	\$0	n/a	\$0
Training/Sampling				0.00	\$0	n/a	* \$0
Remediation/Disposal				0.00	\$0	i // n/a	\$0
Permit Costs				0.00	\$0	n/a	\$0
Other (as needed)	\$100	26-Aug-2007	21-Aug-2008	0.99	\$5	n/a	\$5
Notes for DELAYED costs Avoided Costs		after the sp	oill occurred and th	ne Final	Date is the date of item (except for	one-time avoided c	osts)
Disposal		7.1	1 12	0.00	\$0	\$0	\$0
Personnel				0.00	\$0	\$0	\$0
Inspection/Reporting/Sampling	77 July 1			0.00	\$0	\$0	\$0
Supplies/equipment	9.344			0.00	\$0	\$0	\$0
Financial Assurance [2]				0.00	\$0	\$0	\$0
ONE-TIME avoided costs [3]				0.00	\$0	\$0	\$0
Other (as needed)				0.00	\$0	\$0	\$0
Notes for AVOIDED costs							
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Compliance History

Rating:

Site Rating:

LINDGREN, JAMES Classification: Customer/Respondent/Owner-Operator: CN603353921 Classification: RN105298228 JB RIGGS DRIVE & YEAGUA Regulated Entity: ID Number(s): INTERSECTION OF JB RIGGS DRIVE AND YEAGUA Location: STREET, GROESBECK, LIMESTONE COUNTY REGION 09 - WACO TCEQ Region: May 13, 2008 Date Compliance History Prepared: Agency Decision Requiring Compliance History: Enforcement May 13, 2003 to May 13, 2008 Compliance Period: TCEQ Staff Member to Contact for Additional Information Regarding this Compliance History Phone: (512) 239-2563 John Shelton Site Compliance History Components 1. Has the site been in existence and/or operation for the full five year compliance period? Yes 2. Has there been a (known) change in ownership of the site during the compliance period? Nο N/A 3. If Yes, who is the current owner? 4. if Yes, who was/were the prior owner(s)? N/A N/A 5. When did the change(s) in ownership occur? Components (Multimedia) for the Site: Final Enforcement Orders, court judgements, and consent decrees of the state of Texas and the federal government. В. Any criminal convictions of the state of Texas and the federal government. Chronic excessive emissions events. C. N/A The approval dates of investigations. (CCEDS Inv. Track. No.) D. 1 10/09/2007 (571890)2 10/15/2007 (571487)3 02/08/2008 (614406)4 04/17/2008 (646306)E. Written notices of violations (NOV). (CCEDS Inv. Track. No.) Date: 10/05/2007 (571890)Classification: Moderate Self Report? 30 TAC Chapter 327 327.5(c) Citation: Failure to submit a written report of the spill to the Waco Regional Office within 30 Description: working days of the incident. Environmental audits. N/A G. Type of environmental management systems (EMSs). H. Voluntary on-site compliance assessment dates. Participation in a voluntary pollution reduction program.

Sites Outside of Texas N/A

Early compliance. N/A

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN	§	BEFORE THE
ENFORCEMENT ACTION	§	
CONCERNING	§	TEXAS COMMISSION ON
JAMES LINDGREN DBA TOW	§	
KING, INC.,	§	ENVIRONMENTAL QUALITY
RN105298228	8	

DEFAULT ORDER DOCKET NO. 2008-0828-MSW-E

At its	agenda, the Texas Commission on Environmental Quality,
("Commission" or "TCEQ") co	onsidered the Executive Director's Preliminary Report and Petition
filed pursuant to TEX. WATER C	ODE ch. 7, TEX. HEALTH & SAFETY CODE ch. 361, and the rules of the
TCEQ, which requests appropri	ate relief, including the imposition of an administrative penalty. The
respondent made the subject of	this Order is James Lindgren dba Tow King, Inc. ("Mr. Lindgren").

The Commission makes the following Findings of Fact and Conclusions of Law:

FINDINGS OF FACT

- 1. Mr. Lindgren owns and operates a towing company located at 7191 Bagby Avenue, Waco, McLennan County, Texas (the "Business").
- 2. The Business engaged in disposal of municipal solid waste as defined in Tex. Health & Safety Code ch. 361.
- 3. During an investigation conducted on April 11, 2008, a TCEQ Waco Regional Office investigator documented that Mr. Lindgren failed to submit a written report describing the details of a spill and supporting the adequacy of the response action to the Waco Regional Office within thirty working days of the incident. Specifically, no written report had been submitted for the spill of greater than fifty gallons of diesel fuel from a tow truck that occurred at the intersection of Yeagua Street and JB Riggs Drive in Groesbeck, Limestone County, on July 27, 2007.
- 4. Mr. Lindgren received notice of the violation on or about May 5, 2008.

- 5. The Executive Director recognizes that on August 21, 2008, Mr. Lindgren submitted to TCEQ a spill incident report describing the details of the July 27, 2007, spill and supporting the adequacy of the response action as required under 30 Tex. ADMIN. CODE § 327.5(c) in response to this enforcement action.
- 6. The Executive Director filed the "Executive Director's Preliminary Report and Petition Recommending that the Texas Commission on Environmental Quality Enter an Enforcement Order Assessing an Administrative Penalty Against and Requiring Certain Actions of James Lindgren dba Tow King, Inc." (the "EDPRP") in the TCEQ Chief Clerk's office on October 24, 2008.
- 7. By letter dated October 24, 2008, sent via certified mail, return receipt requested, and via first class mail, postage prepaid, the Executive Director served Mr. Lindgren with notice of the EDPRP. According to the return receipt "green card," Mr. Lindgren received notice of the EDPRP on October 29, 2008, as evidenced by the signature on the card.
- 8. More than twenty days have elapsed since Mr. Lindgren received notice of the EDPRP, provided by the Executive Director. Mr. Lindgren failed to file an answer to the EDPRP, failed to request a hearing, and failed to schedule a settlement conference.

CONCLUSIONS OF LAW

- 1. As evidenced by Finding of Fact Nos. 1 and 2, Mr. Lindgren is subject to the jurisdiction of the TCEQ pursuant to Tex. Health & Safety Code ch. 361 and the rules of the Commission.
- 2. As evidenced by Finding of Fact No. 3, Mr. Lindgren failed to submit a written report describing the details of a spill and supporting the adequacy of the response action to the Waco Regional Office within thirty working days of the accident in violation of 30 Tex. ADMIN. CODE § 327.5(c).
- 3. As evidenced by Finding of Fact Nos. 5 and 6, the Executive Director timely served Mr. Lindgren with proper notice of the EDPRP, as required by Tex. WATER CODE § 7.055 and 30 Tex. Admin. Code § 70.104(a).
- 4. As evidenced by Finding of Fact No.7, Mr. Lindgren failed to file a timely answer to the EDPRP, as required by Tex. Water Code § 7.056 and 30 Tex. Admin. Code § 70.105. Pursuant to Tex. Water Code § 7.057 and 30 Tex. Admin. Code § 70.106, the Commission

may enter a Default Order against Mr. Lindgren and assess the penalty recommended by the Executive Director.

- 5. Pursuant to Tex. Water Code § 7.051, the Commission has the authority to assess an administrative penalty against Mr. Lindgren for violations of the Texas Water Code and the Texas Health and Safety Code within the Commission's jurisdiction, for violations of rules adopted under such statutes, or for violations of orders or permits issued under such statutes.
- 6. An administrative penalty in the amount of one thousand fifty dollars (\$1,050.00) is justified by the facts recited in this Order and considered in light of the factors set forth in TEX. WATER CODE § 7.053.
- 7. TEX. WATER CODE §§ 5.102 and 7.002 authorize the Commission to issue orders and make determinations necessary to effectuate the purposes of the statutes within its jurisdiction.

ORDERING PROVISIONS

NOW, THEREFORE, THE TEXAS COMMISSION ON ENVIRONMENTAL QUALITY ORDERS that:

1. Mr. Lindgren is assessed an administrative penalty in the amount of one thousand fifty dollars (\$1,050.00) for violations of Tex. Health & Safety Code ch. 361 and the rules of the TCEQ. The payment of this administrative penalty and Mr. Lindgren's compliance with all the terms and conditions set forth in this Order completely resolve the matters set forth by this Order in this action. The Commission shall not be constrained in any manner from requiring corrective actions or penalties for other violations which are not raised here. All checks submitted to pay the penalty imposed by this Order shall be made out to the "Texas Commission on Environmental Quality." The administrative penalty assessed by this Order shall be paid within thirty days after the effective date of this Order and shall be sent with the notation "Re: James Lindgren dba Tow King, Inc.; Docket No. 2008-0828-MSW-E" to:

Financial Administration Division, Revenues Section Attention: Cashier's Office, MC 214 Texas Commission on Environmental Quality P.O. Box 13088 Austin, Texas 78711-3088

2. All relief not expressly granted in this Order is denied.

- 3. The provisions of this Order shall apply to and be binding upon Mr. Lindgren. Mr. Lindgren is ordered to give notice of this Order to personnel who maintain day-to-day control over the Business operations referenced in this Order.
- 4. If Mr. Lindgren fails to comply with any of the Ordering Provisions in this Order within the prescribed schedules, and that failure is caused solely by an act of God, war, strike, riot, or other catastrophe, Mr. Lindgren's failure to comply is not a violation of this Order. Mr. Lindgren shall have the burden of establishing to the Executive Director's satisfaction that such an event has occurred. Mr. Lindgren shall notify the Executive Director within seven days after Mr. Lindgren becomes aware of a delaying event and shall take all reasonable measures to mitigate and minimize any delay.
- 5. The Executive Director may grant an extension of any deadline in this Order or in any plan, report, or other document submitted pursuant to this Order, upon a written and substantiated showing of good cause. All requests for extensions by Mr. Lindgren shall be made in writing to the Executive Director. Extensions are not effective until Mr. Lindgren receives written approval from the Executive Director. The determination of what constitutes good cause rests solely with the Executive Director.
- 6. The Executive Director may refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings without notice to Mr. Lindgren if the Executive Director determines that Mr. Lindgren has not complied with one or more of the terms or conditions in this Order.
- 7. This Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Order, whichever is later.
- 8. The Chief Clerk shall provide a copy of this Order to each of the parties. By law, the effective date of this Order shall be the date the Order is final, as provided by 30 Tex. ADMIN. CODE § 70.106(d) and Tex. Gov't Code § 2001.144.

James Lindgren dba Tow King, Inc. DOCKET NO. 2008-0828-MSW-E Page 5

SIGNATURE PAGE

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

For the Commission

AFFIDAVIT OF STEPHANIE J. FRAZEE

STATE OF TEXAS

COUNTY OF TRAVIS

"My name is Stephanie J. Frazee. I am of sound mind, capable of making this affidavit, and the facts stated in this affidavit are within my personal knowledge and are true and correct.

On behalf of the Executive Director of the Texas Commission on Environmental Quality, I filed the "Executive Director's Preliminary Report and Petition Recommending that the Texas Commission on Environmental Quality Enter an Enforcement Order Assessing an Administrative Penalty Against and Requiring Certain Actions of James Lindgren dba Tow King, Inc." (the "EDPRP") with the Office of the Chief Clerk on October 24, 2008.

I sent the EDPRP to Mr. Lindgren at his last known address on October 24, 2008, via certified mail, return receipt requested, and via first class mail, postage prepaid. According to the return receipt "green card," Mr. Lindgren received notice of the EDPRP on October 29, 2008, as evidenced by the signature on the card.

More than twenty days have elapsed since Mr. Lindgren received notice of the EDPRP. Mr. Lindgren failed to file an answer to the EDPRP, failed to request a hearing, and failed to schedule a settlement conference."

Stephanie J. Frazee

Attorney

Texas Commission on Environmental Quality

Before me, the undersigned authority, on this day personally appeared Stephanie J. Frazee, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same for the purposes and consideration herein expressed.

Given under my hand and seal of office this _

day of Ambu, A.D.,

A.D., 2008.

Notary Stamp

Rochelle D. Collins
Notary Public
State of Texas
My Commission Expires
May 15, 2011